## SEL Hardness Assurance in a Mixed Radiation Field

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#### **Abstract**

This paper explores the relationship between monoenergetic and mixed-field Single Event Latchup (SEL) cross sections, concluding that for components with a very strong energy dependence and highly-energetic environments, test results from monoenergetic or soft mixed-field spectra can significantly underestimate the operational failure rate. We introduce a semi-empirical approach that can be used to evaluate the SEL rate for such environments based on monoenergetic measurements and information or assumptions on the respective sensitive volume and materials surrounding it. We show that the presence of high-Z materials such as tungsten is particularly important in determining the hadron cross section energy dependence for components with relatively large LET thresholds.

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# SEL Hardness Assurance in a Mixed Radiation Field

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Abstract—This paper explores the relationship between monoenergetic and mixed-field Single Event Latchup (SEL) cross sections, concluding that for components with a very strong energy dependence and highly-energetic environments, test results from monoenergetic or soft mixed-field spectra can significantly underestimate the operational failure rate. We introduce a semi-empirical approach that can be used to evaluate the SEL rate for such environments based on monoenergetic measurements and information or assumptions on the respective sensitive volume and materials surrounding it. We show that the presence of high-Z materials such as tungsten is particularly important in determining the hadron cross section energy dependence for components with relatively large LET thresholds.

#### I. Introduction

Single Event Latchup (SEL) poses a serious threat to microelectronic component reliability not only in the space environment but also for ground level [1] and avionics systems [2]. Therefore, the need of characterizing electronic devices for atmospheric and accelerator applications [3] is crucial.

As stated in the JEDEC standard JESD89A [4] for the case of soft errors such as Single Event Upsets (SEU), the recommended approach to evaluate the Soft Error Rate (SER) at ground level is to test components in neutron spallation sources such as the Los Alamos LANSCE or the TRIUMF NIF facilities [5]. However, the limited availability of such test beams and (notably) their lower fluxes when compared to monoenergetic proton cyclotrons has motivated the development of test standards that partially rely on proton measurements to calculate the ground level SER. In this case, the JEDEC standard recommends performing monoenergetic tests using neutrons at 14 MeV and protons at 50, 100 and 150 MeV (or similar) to derive a fit of the data to an analytical expression (typically, a four parameter Weibull function) and convolute it with the environment of interest to obtain an estimation of the operational failure rate. The reason why the use of neutrons is advised instead of protons below 50 MeV is the

difference between the nucleon-nucleus cross sections in this energy range.

Otherwise, single monoenergetic measurements can be considered as representative of mixed-field operational environments as well. In fact, the generalized conclusion of a study carried out on a broad range of devices [6] (including SRAMs, FPGAs, microprocessors and SDRAMs) is that monoenergetic proton and neutron beams may be used for SEU testing as an alternative to a spallation neutron source provided results within a factor 2 are acceptable. In the case of neutrons, the test energy required to characterize a device's SEU sensitivity can be as low as 14 MeV [7].

In [6] it is concluded that for purposes of SEL testing for ground-level neutrons, a monoenergetic proton beam in the 100-120 MeV range may be used as a substitute of a neutron spallation beam with agreement expected to be within a factor 2. Likewise is the case for avionics applications, for which standards cite 100 MeV as a sufficient energy to characterize the SEE sensitivity [8]. In [9] however, the warning is given that for components with a strong SEL cross section energy dependence, most test facilities will underestimate the failure rate for the natural atmospheric environment. This was also shown to be the expected scenario through calculations for the high-energy accelerator environment [3], [10] in which the particle energy spectra can be of a very hard (i.e. energetic) character.

In the present study, measurements performed in the VESU-VIO beam at ISIS [11] and the CERN High-energy Accelerator Mixed field facility (CHARM) are used in combination with the model we introduced in [10] and applied in [3] in order to quantify the risk of underestimating the natural atmospheric and high-energy accelerator failure rates derived from monoenergetic proton tests, thus providing safety margins to be applied to the experimental results.

In addition, ground-level and avionics failure rates from neutron spallation test results are typically derived by scaling the experimental rate with the respective fluxes above 10 MeV [4]. This approach ignores the potential effect of the differences in the particle energy spectra of the test and operational environments. However, as shown in [12], [13] the SEL, SEU and MBU cross section energy dependence can be significantly impacted by the presence of tungsten near the relevant sensitive volumes, notably for components with high critical charges. Quantifying the risk related to the impact of the spectral hardness in atmospheric and high energy accelerator applications is the main objective of the work we present here.

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Likewise, according to the available standards, variations in the atmospheric neutron flux with respect to the atmospheric depth, the geomagnetic cutoff rigidity and the solar modulation can be accounted for by scaling the reference spectrum (defined in New York city outdoors at sea level and at a time of average solar activity) in terms of intensity, but do not consider variations in the particle energy spectra. In the present work, we show the implications of the different spectral hardnesses of a broad range of experimental and operational environments on the SEL hardness assurance.

## II. HARDNESS ANALYSIS OF EXPERIMENTAL AND OPERATIONAL ENVIRONMENTS

The test data we present in this work were collected at two experimental facilities: the VESUVIO beam at ISIS [11] and the CHARM facility at CERN. In both cases, the radiation environment is a result of the spallation products of a high-energy proton beam with a heavy target.

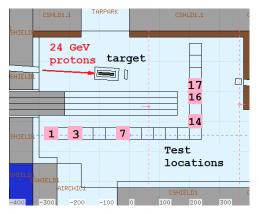
At VESUVIO, neutrons are generated through the interaction of a 800 MeV, 200  $\mu$ A proton beam produced in bunches in a synchrotron with a spallation source. The protons are delivered to the spallation target in two 100 ns long pulses with a frequency of 50 Hz. The neutron beamline is at 60° with respect to the initial proton beam and the neutron flux obtained above 10 MeV for nominal operation conditions is  $\sim 5.8 \cdot 10^4$  n/cm²/s. The neutron spectrum is calculated using the MCNPX Monte Carlo simulation tool and was benchmarked against Time-Of-Flight (TOF) measurement performed with various detectors [14]. In addition, it is to be noted that a new neutron beam (ChipIR) will soon be available at ISIS providing larger intensities and energies than what is currently available in VESUVIO.

At CHARM, the mixed radiation field is generated through the interaction of a 24 GeV proton beam from CERN's Proton Synchrotron (PS) with a 50 cm long, 8 cm in diameter metal target. Different target materials are available in order to vary the mixed-field intensity for a given incident proton intensity within a certain margin. The protons impinge the target in spills of roughly  $3 \cdot 10^{11}$  protons lasting 300 ms. The total cycle of the PS typically lasts between 30 and 40s, during which CHARM receives between 1 and 6 spills. The proton intensity is measured using calibrated Secondary Emission Counters (SECs) and an ionization chamber. The mixed-field generated through the interaction of the primary 24 GeV beam and the target is simulated using the FLUKA Monte Carlo code [15]–[17]. The quantities scored in such simulations include particle fluxes, 1 MeV neutron equivalent fluxes, TID values and particle energy spectra for the different test locations of interest.

From a particle generation point of view, the fact that the proton energy at CHARM is two orders of magnitude larger than that utilized in cyclotron neutron spallation facilities results in an increased production yield and energy transfer to the secondary hadrons. In addition, pions, kaons and other hadrons are generated in a significant proportion, unlike at several hundred MeVs. Otherwise, whereas in neutron spallation facilities charged particles are removed from the beam through

absorbers and magnetic elements, in the case of CHARM these are kept in the environment, which is therefore composed not only of neutrons but also of pions, protons and kaons. Other type of particles such as electrons, positrons, photons, muons, etc. are not explicitly considered in this work as their contribution to SEL is negligible, however they of course add to the Total Ionizing Dose (TID) received by the Devices Under Test.

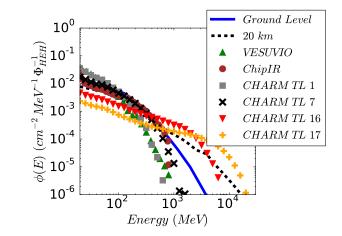
Likewise, whereas standard spallation facilities typically have specific beam lines for neutrons, at CHARM a large volume surrounding the target is available for SEE testing. This enables on the one hand the testing of bulky systems which can as a whole be exposed to radiation, and on the other a broad range of possible fluxes and particle energy spectra depending on the exact test location. In fact, 19 standard test locations (here referred to as TLs) have been defined in CHARM as can be seen in the horizontal cut in Fig. 1. They are numbered from 1 through 19 starting from the bottom left of the plot, and cover angles with respect to the beam from  $135^{\circ}$  to directly downstream the target  $(0^{\circ})$ . Test data presented in this paper were obtained in TLs 1, 3, 7, 14, 16 and 17, as marked in the figure. In addition, four movable shielding plates, two of 40 cm concrete and two of 40 cm iron are available and can be remotely introduced in the facility in order to further alter the mixed-fields depending on the desired user's test parameters. For the results we present here, tests were performed without shielding between the target and the test locations for positions 1, 7, 14, 16 and 17, and with a shielding configuration of 80 cm of concrete and 80 cm of iron at test locations 3 and 7. In order to distinguish them from the non-shielded cases, such locations are marked with a star superscript.



**Fig. 1:** Horizontal cut of the CHARM geometry as implemented in FLUKA showing the proton beam, spallation target and test locations used to collect the experimental data presented. In this configuration, there is no shielding between the target and the test locations, however measurements at test location 7 were performed both without shielding and with all four blocks placed inside. The shielded configuration was also used for test location 3.

In order to compare the different environments involved in the measurements we will later present, the normalized differential hadron energy spectra above 20 MeV for VESUVIO and some of the TLs used at CHARM are plotted in Fig. 2 together with those of ChipIR (only based on calculations, still to be calibrated). Several operational environments are included as well. The CHARM spectra were extracted using FLUKA, whereas the atmospheric cases were retrieved using the QARM online tool [18] for the Geneva geographic coordinates (46°N, 6°E, 375 m altitude) and solar maximum conditions. Results for other altitudes (i.e. 12 and 20 km) are extracted using the same latitude and longitude. For VESUVIO and ChipIR, the spectra were obtained through MCNPX simulations and provided to us the facility experts.

The fluxes are normalized to the integral hadron flux above 20 MeV, defined as High Energy Hadron (HEH) flux and here represented as  $\Phi_{HEH}$ . As can be seen, VESUVIO and TL1 of CHARM exhibit the softest spectra, with the groundlevel, TL7 at CHARM and ChipIR being of an intermediate character, and TL 16 and 17 at CHARM and the 20 km having the hardest nature. It is to be noted that, whereas the VESU-VIO and ChipIR spectra are composed solely of neutrons, the ground-level and 20 km altitude also include protons, and at CHARM a broader range of hadrons (neutrons, protons, pions, kaons, etc.) are present. As to what regards the HEH fluxes during the different tests, at CHARM the maximum value was roughly 2.5 · 10<sup>6</sup>cm<sup>-2</sup>s<sup>-1</sup> for the downstream locations, with lower intensities for the lateral (i.e. 1, 3 and 7 as shown in Fig. 1) and (notably) shielded locations. At VESUVIO, the average test flux was roughly two orders of magnitude smaller than the maximum value at CHARM.



**Fig. 2:** Differential hadron spectra for different operational and experimental environments normalized to the respective integral HEH fluxes.

In order to further characterize and compare the hadron energy spectra of the different environments, hardness energies at different percentages can be defined as the energies above which a certain proportion of the HEH remains. For instance, we introduce the 50% (10%) hardness energy as the energy above which 50% (10%) of the total HEH flux is found, and represented hereafter as  $H_{50\%}$  ( $H_{10\%}$ ). Whereas this Figure-of-Merit does not uniquely define the spectra, it is useful to describe its hardness through a reduced set of values. The respective values for the spectra shown in Fig. 2 can be found in Table I together with other relevant experimental and operational radiation environments. The LHC experiment example

refers to hadrons scored using FLUKA in a cylindrical region of 20 cm in radius and 3.0 m in length centered on the interaction point of the ATLAS inner detector.

**TABLE I:** Hardness energies as defined in the text for different experimental and operational environments. CHARM locations marked with an asterisk refer to test conditions in which the full movable shielding was placed inside the irradiation area. Hardness energy values may slightly differ from those shown in [3] for the same spectra due to the use of different sources to obtain the spectra, updated simulations, different binning, etc.

Env.	H <sub>50%</sub> (MeV)	H <sub>10%</sub> (MeV)
VESUVIO	45	150
ChipIR	120	410
CHARM (3*)	70	170
CHARM (1)	65	175
CHARM (7*)	75	220
CHARM (7)	100	370
CHARM (14)	280	1.1 GeV
CHARM (16)	700	2.9 GeV
CHARM (17)	2.6 GeV	9.7 GeV
Ground-level	140	540
12 km	150	1.0 GeV
20 km	200	3.2 GeV
LHC Experiment	890	3.8 GeV
Interplanetary	1.2 GeV	5.6 GeV

#### III. MIXED-FIELD TEST RESULTS

Four different commercial SRAM components were used as a test sample. Their respective characteristics are shown in Table II together with the naming convention used in the present paper, selected in order to be consistent with that used in [3], [10]. In order to characterize their SEL response, the memories were powered and their current consumption was monitored with a frequency of 1 Hz through a LabVIEW interface. When the current consumption exceeded the nominal value (ranging from 2 mA for SRAM D to 32 mA for SRAM A) by a certain margin (typically 50 mA), a power cycle was performed. During the time in which the components remained in the high current state, the current supply was limited to roughly 80 mA in order to avoid permanent damage of the components. The total detection plus power cycle time was 6s, which was accordingly subtracted in the fluence calculation in order to discard the dead time of the devices. In all case, the latter was maintained below 20% of the total beam time. The memory pattern was the preferred one when the components were powered and their functionality in terms of write/read operations was verified both before and after the radiation tests. In addition, the SEL rate was monitored throughout the tests evidencing that it did not significantly evolve with time, thus no TID or latent effects due to the repeated SELs could be identified. All four components were powered at 3.3V and measurements were performed at room temperature.

**TABLE II:** Main characteristics of the components tested and their identification name as considered in the present work.

ID	Part Numbers	Manufacturer	Tech. (nm)	Date Code
SRAM A	IS61LV5128AL-10TLI	ISSI	180	1247
SRAM C	AS7C34098A-10TCN	Alliance	200	1205, 1210, 1339
SRAM D	K6R4016V1D-TC10	Samsung	180	413, 922
SRAM D SRAM F	K6R4016V1D-TC10 BS62LV1600EIP55	Samsung Brilliance	180 180	413, 922 11254

The cross sections for the respective mixed-field environments were derived by dividing the SEL count by the effective HEH fluence (i.e. excluding the detection and power cycle dead time periods). For this reason, we will refer to them as experimental mixed-field HEH cross sections, here denoted as  $\sigma_{\rm exp}^*$ , where the star is used to distinguish these cross sections from the monoenergetic ones. In all cases, more than 50 SEL events were collected per component type and test conditions. At CHARM, depending on the device sensitivity and test flux, several components (up to 16) were tested simultaneously in boards hosting 8 components each. In all cases the flux was considered to be homogeneous within  $\pm 10\%$ throughout the surface covered by the board. In addition, the sensitivity deviations among individual components of the same reference were measured to be smaller than those related to the test facility dosimetry (considered as 10%) and the error count, even for components of different date codes. However, we consider a worst-case 20% sensitivity variability among different individual components of the same reference. Therefore, the uncertainly on the cross section values takes into account (i) a 10% error in the facility dosimetry (ii) a 10% error associated to the mixed-field homogeneity and size of the scoring volumes in the FLUKA simulation (iii) a 20% spread in the individual sensitivities and (iv) the 2-sigma error associated to the number of SEL counts.

The mixed-field HEH results for the four components considered in the VESUVIO and CHARM environments are shown in Table III. In order to graphically compare the results, the cross sections normalized to the TL1 value are shown in Fig. 3 for SRAMs A, C and D as a function of the respective 10% hardness energies shown in Table I. As can be seen, two clearly distinct behaviors can be identified: whereas for SRAM A the dependency of the cross section with  $H_{10\%}$  is relatively weak (less than a factor 2 increase between 175 MeV and 1.1 GeV) for SRAMs C and D, the impact of the spectral hardness on the SEL rate is significant (over a factor 10 when  $H_{10\%}$  augments from 175 MeV to 1.1 GeV and roughly a factor 100 if we consider the difference between 175 MeV and 9.7 GeV).

**TABLE III:** Measured SEL cross sections in units of cm<sup>2</sup>/device for the different SRAMs and environments considered. The relative uncertainties are not explicitly shown but are below 37% in all cases.

Env.	H <sub>10%</sub> (MeV)	SRAM A	SRAM C	SRAM D	SRAM F
VESUVIO	150	$5.7 \cdot 10^{-9}$	-	-	-
CHARM (3*)	170	8.8 · 10 <sup>-9</sup>	-	-	-
CHARM (1)	175	9.8 · 10 <sup>-9</sup>	$7.8 \cdot 10^{-11}$	$5.0 \cdot 10^{-11}$	-
CHARM (7*)	220	1.2 · 10-8	-	-	$1.3 \cdot 10^{-7}$
CHARM (7)	355	-	$1.7 \cdot 10^{-10}$	$2.6 \cdot 10^{-10}$	-
CHARM (14)	1.1 GeV	1.7 · 10 <sup>-8</sup>	$6.3 \cdot 10^{-10}$	$9.8 \cdot 10^{-10}$	-
CHARM (16)	2.9 GeV	-	$1.6 \cdot 10^{-9}$	$2.3 \cdot 10^{-9}$	-
CHARM (17)	9.7 GeV	-	$5.3 \cdot 10^{-9}$	$6.8 \cdot 10^{-9}$	-

In the following section, the dependency of the mixed-field cross section on the spectral hardness of the environment will be analyzed and correlated with the respective monoenergetic responses both from an experimental and a simulation point of view.

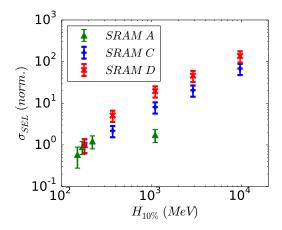


Fig. 3: Mixed-field HEH cross sections for the different components and environments considered normalized to the CHARM (1) value.

### IV. COMPARISON WITH EXPECTED MIXED-FIELD SEL RATE

As suggested in the JEDEC standard [4] for SEU, the mixed-field SEL cross section  $\sigma^*_{\rm calc.}$  can be extracted by folding the analytic fits to the monoenergetic cross section  $\sigma(E)$  with the differential HEH spectrum  $\phi(E)$  as shown in Eq. 1, where the flux considered is that of all hadrons above 20 MeV, as is the standard case in the high-energy accelerator context [19]. It is to be noted that in the ground-level application context, the neutron flux above 10 MeV is normally considered instead. Typically, the analytic fit to the experimental data is performed using a four-parameter Weibull function of the type shown in Eq. 2.

$$\sigma_{\text{calc.}}^* = \frac{\int_{E_{\text{min}}}^{E_{\text{max}}} \sigma(E) \cdot \phi(E) dE}{\int_{20 \text{MeV}}^{E_{\text{max}}} \phi(E) dE}$$
(1)

$$\sigma(E) = \sigma_{\text{sat}} \cdot (1 - \exp(-(E - E_0)/W)^s)$$
 (2)

By using a single cross section and flux in Eq. 1 we assume that all hadrons in the mixed-field are equally efficient in inducing SELs. In this case, the vast majority of monoenergetic results were extracted using proton beams.

We performed monoenergetic SEL measurements on the four SRAMs studied in this paper at different facilities. As detailed in [3] we used the Proton Irradiation Facility (PIF) at the Paul Scherrer Institute [20] for proton measurements between 30 and 230 MeV and the Proton Irradiation Facility (PIF) at TRIUMF [21] to cover the 230-480 MeV range. In addition, a 300 MeV quasi-monoenergetic neutron measurement was performed on SRAM F at RCNP in Osaka, Japan (details of the test and analysis will be provided in a future publication).

#### A. Saturated cross sections at 230 MeV

As a first approach, we will assume that the SEL responses of the components considered saturate at 230 MeV (which is the maximum proton energy available at PSI). This energy is above the maximum recommended in the JEDEC standard

(150 MeV) and from a nuclear reaction point of view is considered to be an energy for which SEE cross sections in silicon are saturated [22], [23].

SEL cross section results for SRAMs A and F are shown in Figs. 4 and 5 together with the fit to a four-parameter Weibull function of the type shown in Eq. 2 and with the values presented in Table IV. All monoenergetic measurements plotted in this paper consider error bars that include: (i) a 10% uncertainty in the fluence measurement (ii) a 20% part-to-part variability (iii) the 2-sigma counting error.

**TABLE IV:** Four-parameter Weibull fit to the SEL cross section for SRAMs A and F performed using a non-linear least squares fit.

Parameter	SRAM A	SRAM F
$\sigma_{\rm sat} \ ({\rm cm}^2)$ E <sub>0</sub> (MeV)	3.09 · 10 <sup>-8</sup> 17.2	3.13 · 10 <sup>-7</sup> 27.0
W (MeV)	139	57.2
S	1.36	1.16

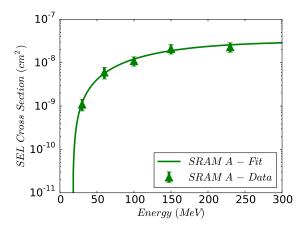


Fig. 4: SEL cross section for SRAM A along with the respective Weibull fit.

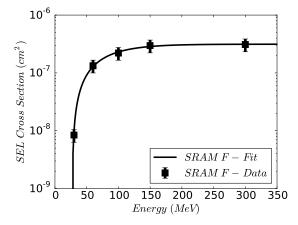


Fig. 5: SEL cross section for SRAM F along with the respective Weibull fit.

Once the fits are defined, they can be used in combination with the energy spectrum of the radiation environment in order

to extract the expected mixed-field cross section. This was performed for SRAM A and the different environments in which it was tested, yielding the results shown in Table V. The ratio between the calculated and experimental cross section values is also shown. As can be seen, all calculated cross section values are within  $\pm 31\%$  of the experimental value, which can be considered as a highly satisfactory agreement when taking into account the uncertainty associated to both the measurements and the calculation method.

**TABLE V:** Calculated mixed-field cross section and ratio to experimental value for SRAMs A and F.

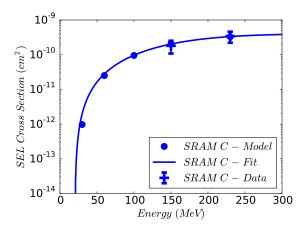
		SRAM A		SRAM A SRAM F		F
Env.	H <sub>10%</sub> (MeV)	$\sigma^*_{ m calc.}$ $( m cm^2)$	$\frac{\sigma_{\text{calc.}}^*}{\sigma_{\text{exp.}}^*}$	$\sigma^*_{ m calc.}$ $( m cm^2)$	$\frac{\sigma_{\text{calc.}}^*}{\sigma_{\text{exp.}}^*}$	
VESUVIO	145	$6.6 \cdot 10^{-9}$	1.16	-	-	
CHARM (3*)	170	$9.2 \cdot 10^{-9}$	1.05	-	-	
CHARM (1)	175	$8.3 \cdot 10^{-9}$	0.85	-		
CHARM (7*)	220	$1.1 \cdot 10^{-8}$	0.92	$1.7 \cdot 10^{-7}$	1.31	
CHARM (14)	1.1 GeV	$2.2 \cdot 10^{-8}$	1.29	-	-	

For SRAMs C and D, the calculation approach is different owing to their relatively low cross section, which meant it was not possible to obtain a statistically meaningful number of counts (> 50) for energies below 150 MeV. For this reason, we use the output of the Monte Carlo based semi-empirical SEL model introduced in [10] and that will be briefly covered in subsection IV-B to estimate the cross section in the 30-100 MeV range. As was performed for SRAMs A and F, we initially assume that the SEL cross section saturates at the maximum energy tested for at PSI (i.e. 230 MeV). The respective simulated data points are shown in Figs. 6 and 7 together with the measurements at 150 and 230 MeV. The fits to the simulated data assuming a saturation at 230 MeV are also included. The corresponding fit parameters are shown in Table VI.

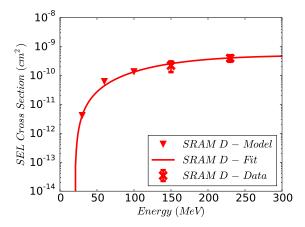
**TABLE VI:** Two-parameter Weibull fit to the SEL cross section for SRAMs C and D performed using a non-linear least squares fit.  $E_0$  was set to 20 MeV as the value obtained from the fit was unphysical, and  $\sigma_{\text{sat}}$  was fixed 20% above the 230 MeV value.

Parameter	SRAM C	SRAM D
σ <sub>sat</sub> (cm <sup>2</sup> ) E <sub>o</sub> (MeV) W (MeV)	4.0 · 10 <sup>-10</sup> 20 156	5.0 · 10 <sup>-10</sup> 20 157
S	1.93	1.74

We then use the fits to the data for SRAM C and D to derive the expected mixed-field SEL cross section in the environments of interest using Eq. 1. The resulting values are shown in Table VII both in absolute terms and relative to the experimental value. As can be seen, for TL1 and 7, the expected results are within  $\pm 27\%$  of the experimental values except for SRAM D in TL1, for which the calculation overestimates the measurement by a factor 2. We attribute this overestimation to the importance of the simulated 30 MeV point (see Fig. 7) in this soft environment. For an environment of an intermediate hardness such as TL7, the energies in the 100-200 MeV range (for which experimental data are available for SRAM D) play a stronger role.



**Fig. 6:** SEL cross section for SRAM C assuming a saturation just above 230 MeV along with the respective Weibull fit.



**Fig. 7:** SEL cross section for SRAM D assuming a saturation just above 230 MeV along with the respective Weibull fit.

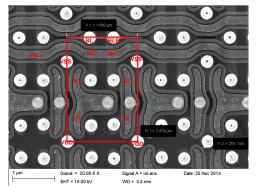
However, as the hardness of the environment increases, the calculations tend to systematically underestimate the measurements (by a factor  $\sim$ 2 for TL14, a factor  $\sim$ 5 for TL16 and a factor  $\sim 15$  for TL17). As predicted in [3], we attribute this behavior to the fact that the cross section is not actually saturated at 230 MeV but is instead expected to increases significantly up to an energy of 3 GeV. This increase is driven by the enhanced fission cross section in tungsten elements near the SEL sensitive volume. A Scanning Electron Microscope (SEM) horizontal image of the tungsten elements in a cell of SRAM C is shown in Fig. 8, corresponding to a volume of  $\sim 0.5 \ \mu \text{m}^3$  per cell. This value was in fact predicted in [10] through a fit of the Monte Carlo SEL model to the experimental data in the 100-230 MeV range. The impact of the energy dependency derived from the high-Z elements on the mixed-field cross sections (initially introduced in [12]) will be quantified in the following subsection.

#### B. High-Z SEL model for cross section energy dependence

In [10] we introduced a semi-empirical SEL cross section estimation approach in which technological input parameters,

**TABLE VII:** Calculated mixed-field cross section and ratio to experimental value for SRAMs C and D assuming a saturated cross section at 230 MeV.

		SRAM C		SRAM D	
Env.	H <sub>10%</sub> (MeV)	$\sigma_{\text{sim.}}^*$ $(\text{cm}^2)$	$\frac{\sigma_{\text{sim.}}^*}{\sigma_{\text{exp.}}^*}$	$\sigma^*_{\text{sim.}}$ $(\text{cm}^2)$	$\frac{\sigma_{\text{sim.}}^*}{\sigma_{\text{exp.}}^*}$
CHARM (1)	175	$7.6 \cdot 10^{-11}$	0.97	$1.0 \cdot 10^{-10}$	2.0
CHARM (7)	220	$1.5 \cdot 10^{-10}$	0.88	$1.9 \cdot 10^{-10}$	0.73
CHARM (14)	1.1 GeV	$2.6 \cdot 10^{-10}$	0.41	$3.2 \cdot 10^{-10}$	0.51
CHARM (16)	2.9 GeV	$3.1 \cdot 10^{-10}$	0.19	$3.9 \cdot 10^{-10}$	0.17
CHARM (17)	9.7 GeV	$3.6 \cdot 10^{-10}$	$6.8 \cdot 10^{-2}$	$4.5 \cdot 10^{-10}$	$6.6 \cdot 10^{-2}$



**Fig. 8:** Horizontal section of SRAM C at polysilicon level showing the vias in white (i.e. tungsten). N1+P3 and N2+P4 form the cross-coupled inverters of the cell whereas N5 and N6 are the access transistors.

the heavy ion (HI) cross section response and the monoenergetic proton data in the 100-230 MeV are used in combination with Monte Carlo simulations to calibrate a model and apply it to hadrons and energies typically not accessible in standard radiation facilities. The different steps, detailed in [10], can be summarized as follows:

- (i) we simulate the energy deposition for a 100 MeV proton beam on a standard SRAM SEL sensitive surface and surroundings considering different sensitive depths;
- (ii) we obtain the simulated proton cross section as a function of the thickness by folding the different energy deposition distributions with the HI response and select the SV depth value that best fits the 100 MeV proton experimental value;
- (iii) Steps (i) and (ii) are repeated for 230 MeV protons and the tungsten volume near the SV as a fitting parameter;
- (iv) We use the calibrated model to estimate the cross section for larger energies not easily accessible experimentally but still relevant in highly energetic environments.

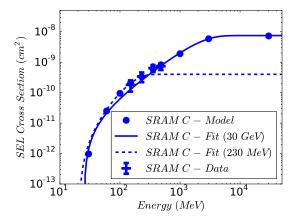
As shown in [3], this model was successful in predicting both the tungsten volume per cell in SRAMs C and D (roughly  $0.5 \ \mu m^3$ ) as well as their cross section increase at 480 MeV.

Therefore, we use this SEL model to extract the expected SEL cross section considering the effect of tungsten elements near the relevant SVs for those SRAMs in which it is expected to play an important role. The respective simulated cross sections and fits for SRAMs C and D are shown in Figs. 9 and 10 together with the data in the 150-480 MeV range. The respective fit parameters are included in Table VIII. The 230 MeV saturated fits used in subsection IV-A are also included in the figures, clearly showing that (i) the data above 230 MeV

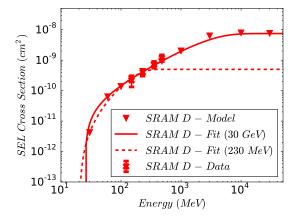
is not compatible with saturation and (ii) the cross section is expected to increase by over a factor 10 between 230 MeV and 3 GeV.

**TABLE VIII:** Weibull fit parameters to the SEL cross section for SRAMs C and D up to 30 GeV performed considering two parameters (W and s) and a non-linear least squares fit. The threshold energy was fixed to 25 MeV and the saturation cross section was selected compatible with the constant value between 3 and 30 GeV.

Parameter	SRAM C	SRAM D
$\sigma_{\rm sat}~({\rm cm}^2)$	$7.5 \cdot 10^{-9}$	$7.5 \cdot 10^{-9}$
E <sub>o</sub> (MeV)	25	25
W (MeV)	$2.21 \cdot 10^3$	$3.00 \cdot 10^3$
S	1.41	1.01



**Fig. 9:** Simulated SEL cross section for SRAM C according to the high-Z model introduced in the text along with the respective Weibull fit, the experimental data and the fit considering saturation just above 230 MeV.



**Fig. 10:** Simulated SEL cross section for SRAM D according to the high-Z model introduced in the text along with the respective Weibull fit, the experimental data and the fit considering saturation just above 230 MeV.

By using the fits to the simulated data including the high-Z material effect (i.e. those considering the increase with energy up to 30 GeV) we extract the expected mixed-field

cross sections, which are shown in Table IX for the hard environments (as results for the softer ones are hardly affected by the cross section increase above 230 MeV).

**TABLE IX:** Calculated mixed-field cross section and ratio to experimental value for SRAMs C and D considering the high-Z SEL model for the energy dependence above 230 MeV.

		SRAM C		SRAM D		
Env.	H <sub>10%</sub> (MeV)	$\sigma_{\text{sim.}}^*$ (cm <sup>2</sup> )	$\frac{\sigma_{\text{sim.}}^*}{\sigma_{\text{exp.}}^*}$	$\sigma_{\text{sim.}}^*$ (cm <sup>2</sup> )	$\frac{\sigma_{\text{sim.}}^*}{\sigma_{\text{exp.}}^*}$	
CHARM (14)	1.1 GeV	$7.8 \cdot 10^{-10}$	1.24	$8.8 \cdot 10^{-10}$	0.90	
CHARM (16)	2.9 GeV	$2.1 \cdot 10^{-9}$	1.31	$1.9 \cdot 10^{-9}$	0.83	
CHARM (17)	9.7 GeV	4.4 · 10 <sup>-9</sup>	0.83	$4.0 \cdot 10^{-9}$	0.59	

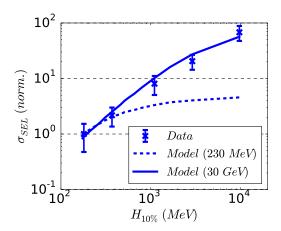
As can be seen, by using the high-Z SEL model, the calculated results are in agreement with the measurements within  $\pm 40\%$  (and mostly within  $\pm 30\%$ ) which can be regarded as highly satisfactory when taking into account the uncertainties related to the measurements and the calculation method.

#### V. SEL HARDNESS ASSURANCE IMPLICATIONS

In order to evaluate the impact of using monoenergetic tests results saturated at relatively low energies (e.g. 230 MeV) to estimate the operational SEL failure rate for hard environments, the normalized SEL cross section for SRAM C is plotted in Fig. 11 as a function of the environment hardness for the different test locations at CHARM. As can be seen, using the saturated model to evaluate the SEL failure rate in an environment such as those at TL16 and TL17 in CHARM (representative of some locations in the high-energy accelerator context) would lead to an underestimation of roughly a factor 5 and 15 respectively. If the experimental result from a soft spectrum such as TL1 at CHARM or VESUVIO was used instead, the respective underestimation increases to roughly 20 and 80 for the two considered environments. If, on the other hand, a single monoenergetic experimental value is used to characterize the mixed-field response of hard environments, the resulting underestimation factors are  $\sim$ 7 for TL16 and  $\sim$ 15 for TL17 if 230 MeV is considered; and  $\sim$ 20 and  $\sim$ 45 respectively if the 100 MeV value is used.

Alternatively, results presented here show that in the case of a spectrum similar to the ground-level one (e.g. TL7 in CHARM or what is expected for ChipIR) the SEL rate for the components with a strong energy dependence (SRAMs C and D) is within a factor 2 of both the 100 MeV and saturated model results (difference between dashed and full curve in Fig. 11 for an  $H_{10\%}$  value of 540 MeV, corresponding to the ground-level case) and a factor 3.5 within the soft spectrum in TL1 at CHARM (difference between the data point for the lowest hardness energy in Fig. 11 and the full curve for  $H_{10\%} = 540$  MeV). Therefore as long as these margins can be tolerated, testing in 100 MeV or soft mixed-field spectra can be considered as representative of the ground-level environment.

For the case of an avionic environment (12 km altitude), the saturated model underestimates the expected value of the energy dependent model up to GeV energies by roughly a factor 4. For a soft mixed-field spectrum such as TL1 for CHARM, the underestimation is roughly a factor 10.



**Fig. 11:** Experimental mixed-field SEL cross section for SRAM C as a function of the 10% hardness energy normalized to the value at TL1 in CHARM. The expected SEL cross section from the models saturated at 230 MeV and considering the energy dependence up to 30 GeV are also shown.

Finally, it is to be noted that though the safety margins mentioned in this section apply to a specific set of components and environments, the underlying approach can be used to generalize the results to a broader range of devices and radiation fields as long as sufficient information about the sensitive volume size, materials surrounding it and particle energy spectra is available. If this information is not available, a worst-case dependency can be assumed by considering an SEE cross section fully dominated by tungsten fragments, as was introduced in [3].

#### VI. CONCLUSIONS AND OUTLOOK

In this paper we show through experimental and simulated results that not taking the spectral hardness into account for very hard environments can lead to a significant underestimation of the SEL risk. Even for cases in which the goal is not calculating the SEL rate but rather evaluating whether the component is sensitive or not up to a certain fluence level, testing in softer conditions can lead to the erroneous conclusion that the part is SEL free up to a certain radiation level, and then experiencing failure in operation after a significantly lower accumulated fluence. Therefore, in order to obtain realistic failure rate estimations it is important to test the component at a facility which can closely reproduce the operational hadron spectrum. If not possible, the SEL model introduced in [10] or the worst-case factors derived from it as safety margins should be applied to account for the potential impact of the spectral hardness [3]. This conclusion not only applies to the SEL examples shown in this work but also to SEU rates of high critical charge components, owing to the strong contribution of high-Z fragments (as was initially suggested in [24] and later developed in [13] for the case of MBUs). An experimental example of such a case is the radiation hardened component presented in [25].

In addition, the test and model results shown here provide a means of experimentally calibrating any mixed environment in terms of its hardness through measurements using the commercially available components reported in this work that exhibit a strong SEL dependency with energy.

Finally, the CHARM test facility will be used in the future to analyze the mixed-field energy dependence of other destructive events such as SEB in power MOSFETs.

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